Autonomous Underwater Vehicle Control Coordination Using A Tri-Level Hybrid Software Architecture

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ABSTRACT

This paper proposes the use of Prolog as a rule based specification language for the coordination of multiple control functions as required to perform missions with autonomous underwater vehicles. We first define terms used in this type of control system and show that such systems fall into the class of 'Hybrid' controllers coupling discrete state / time independent and continuous state / continuous time elements. The design of these systems has received little attention, but, the software architecture to implement them is often composed of three levels for ease of segregation and development of functionality.

An implementation of our controller on the NPS Phoenix vehicle uses PROLOG as a rule based language to specify and execute the phases of any mission. Embedded in the rule body are functions that interface with the vehicle to gather sensory data and generate signals as required to trigger transitions between control functions, and to initiate commands for the initiation of subsequent control functions. The importance of the use of PROLOG is that the same code is used for mission specification as is used for its execution thereby eliminating the question of correctness.

Control of a mission segment using command generation to simulaneously drive the vehicle to a point on space and time (to coincidently reach a given depth and heading) is described with experimental results. The development of transitioning signals can be problematic and is discussed alonside error recovery techniques using 'guaranteed phase completion'.

INTRODUCTION

The human experience is limited underwater. Even shallow water coastal areas are not well understood. As an aid to the development of coastal environmental understanding, new technology is being aimed at using (semi)autonomous vehicles, requiring acoustic communications and a degree of autonomy on the vehicle sufficient to maintain vehicle task control. Building an ever increasing level of automatic capability into an underwater vehicle is of interest to us. In particular, under a new NSF grant, we are concerned with the ease of reconfiguration of control software code as missions become more complex or vehicle capabilities change. To that end, tri-level software architectures are useful for enabling control over the resulting hybrid system which comprises discrete state, as well as continuous time - continuous state elements. The three levels (Strategic, Tactical, and Execution levels in our terminology), separate the control requirements into easily modularized functions encompassing logically intense discrete state transitioning using *asynchronously* generated signals for control of the mission and real time *synchronized* controllers that stabilize the vehicle motion to callable commands.

In our controller architecture, the Strategic level uses 'PROLOG' as a rule based mission control specification

language. It's inference engine cycles through the predicate rules to manage the discrete event logical aspects of mission related decisions. It transitions states, and generally develops the commands that drive the vehicle through its mission. Error recovery procedures from failures in the mission tasks or the vehicle subsystems are included as transitions to 'error' states that ultimately provide commands to the servo level control for appropriate recovery action.

The Tactical level - at the moment - is set of "C" language functions that interface with the 'PROLOG' predicates and return TRUE / FALSE when called, and which are interfaced to the real time Execution level controller using asynchronous message passing through ethernet sockets.

The Execution level commands the vehicle subsystems to activate control functions that correspond to those commanded. Communication from the Tactical level to the Execution level takes place through a single socket. By the design of this hierarchical control system, the Tactical level runs asynchronously and retains the mission data file and the mission log file in global memory. It sends command scripts to the Execution Level and requests data for the evaluation of state transitions. The architecture is a hybrid between the true hierarchical control of NASREM [1] and the purely reactive schemes of subsumptionists [2, 3]. In this way, control of mission can be retained, while reacting to unanticipated events is also enabled.

In new work with the NPS PHOENIX - an autonomous underwater vehicle, we have extended the flight control experiments that were conducted and reported previously [4]. We have now developed the thruster control behavior of the vehicle. Experiments using command generation to drive orthogonal actions have been conducted and illustrate herein both the power of sliding modes for control of transient response and command tracking, and the power of PROLOG for the mission coordination.

In order to assist in the subsequent discussion, it helps to define some terms that have long plagued the underwater robotics community. We offer the following:

Let the set of all actuators available to the vehicle be denoted by, A, the set of sensors by S, and the set of continuous time states of the vehicle be X, then:

Definition: A <u>Control Function</u> (CF), is the use of a particular subset, $a_i \subset A$ of vehicle actuators with a particular subset, $s_i \subset S$, of the vehicle sensor suite, to both estimate a corresponding subset of the continuous time continuous states, $x_i \subset X$, of the vehicle and drive a particular set of continuous time error states, e_i to zero. The control error is defined as the difference between a command value and the estimated actual motion. A control function is analogous to the Robot Task in [1] and employs an appropriate control law linking the actuator commands, $u_i(kdt)$, to sensory output, $y(kdt)$ and commands $r_i(t)$.

$$CF_i: u_i = f_i(y_i), r_i(t)$$

Definition: A <u>Vehicle Primitive</u> is a linguistic string associated with a particular <u>Control Function</u>.

Definition: <u>Orthogonal Control Functions</u> are those that utilize independent subsets of actuators.

Definition: <u>Interacting Control Functions</u> are those that utilize part of the same subset of actuators utilized by other CF's.

Definition: A <u>Sensor Based Reaction</u>, as in a low energy level in the vehicle, vehicle shoaling, or any obstacle detection, is a condition when a sensor value sustains a critical value. If c is the critical value, e is a small positive bound, and F is a low pass filter, a <u>Sensor Based Reaction</u> occurs if

$$|F(y) - c| < e.$$

All Control Functions terminate at a '<u>Termination</u>'.

Definition: The <u>Termination</u> of a control function occurs either

1) at a specified time,

2) when a positive definite function $P(\cdot)$ of the control error lies within a prespecified bound. If b, is a positive bound and $F(\cdot)$ is a low pass filter the termination condition is

$$F(P(e)) < b$$

3) upon a <u>Sensor Based Reaction</u>.

Definition: A <u>Behavior</u> (B), is described as the execution of a particular sequence of CFs each driven to a <u>termination</u>.

Comment: The state of performing a given CF is a "<u>discrete state</u>" of the system. The condition of reaching a <u>termination</u> is linked to the transition of the discrete state of the system from one state to another as defined by the system <u>Behavior</u> (B). A Behavior can be represented for example, by a Petri Net, a finite state diagram, or, linguistically, by a set of Prolog rules.

Definition: A <u>Hybrid Control System</u> in the context of this work is a control system of hardware and software elements that is capable of driving a vehicle through a set of <u>Behaviors</u>.

The definition of a <u>mission plan</u> is now reduced to the specification of a sequence of Behaviors (B) to be conducted during each mission phase. These include the ordered sequence of control functions and their termination conditions.

The principle of "guaranteed phase completion" is such that all control functions have a termination so that each mission phase, its behaviors and control functions, will terminate - essentially, the mission plan will specify that all mission phases complete - either sucessfully or by abortion.

VEHICLE CONTROL SYSTEM

The control concepts presented are being evaluated experimentally using the NPS PHOENIX vehicle shown in Figure 1. It has been recently outfitted with the tri-level controller, currently implemented in hardware using three networked processors, illustrated in Figure 2. All Execution level software is written in 'C' and runs on a GESPAC M68030 processor in a separate card cage inside the boat. Connected in the same card cage is an ethernet card and an array of real time interfacing devices for communications to sensors and actuators indicated in the details of Figure 3. The Execution level control code containing a set of functions in a compiled module called 'exec' is downloaded first and run to activate any mission. It starts the communication s socket on the GESPAC side and waits for the higher level controller to start.

Strategic Level

The Strategic level PROLOG rules which specify the mission to be conducted are compiled and linked together with the supporting Tactical level 'C' language functions into the single executable process called 'Mission_Control', that is run in a SUN Sparc 4 laptop computer and linked through ethernet and a non-blocking socket to the Gespac processor. Upon starting, it first opens the SUN side of the communications socket, initiating the ethernet link between both SUN and GESPAC processors, then sending sequenced control commands to the vehicle. All vehicle control functions, with the exception of the transmission of sonar imaging data, communicate by message passing through that socket.

Tactical Level Software

A second SUN process called the 'Sonar Manager' is opened which runs asynchronously in the SUN and with equal priority to the 'Mission_Control'. This process is linked through a separate socket to the GESPAC for the purpose of the reception and handling of sonar imaging data. This process is activated <u>if and when</u> sonar is activated by a Strategic level predicate call. The 'Sonar Manager' captures data that is sent out from the Execution level as soon as it has been acquired, and then processes and passes the data to be displayed on the IRIS Graphics workstation for visualization purposes. Tactical level software is designed to link with the PROLOG rule base, send vehicle primatives to the execution level software and process the numerical computaions associated with computing the termination conditions. It necessarily requires the computation of filtered data, and t the present stage of development performs computation asynchronously. Time is not critical as the communication and commands to receive data and activate or terminate control functions are designed to change only as needed and to not influence the stability of the vehicle motion.

Execution Level Software

The structure of the Execution level software is illustrated by Figure 3 which indicates that it is composed of software at the hardware interface (software drivers) as well as software for vehicle control. After initialization of power systems and sonars, and the basic driver settings, the PIA card pins that control the on/off feature of power supplies, thruster power, screw power, and sonar power, a simple timing loop is entered and reentered at a fixed update rate (in our case 0.1 sec.) during which the following takes place,

1. read the socket 'A' for behavior based mode command flags and control set points,

2. read the sensors,

3. selecting appropriate 'C' code control functions for computing and sending control values to actuators, using multiple 'case of ' checks for distinguishing the commands,

4. writing selected data to memory or sockets 'A' or 'B' as appropriate, and

5. checking time for any time based events and waiting for the next timing interrupt to maintain integrity of the digital control loop,

Specific control laws as built into callable modules of code are easily selected according to the vehicle primitives sent.

Vehicle Primative Development

In previous work [5], waypoint following in a transit phase of a mission was demonstrated in a swimming pool test area where competent control functions were demonstrated including vehicle primitives

a) Forward_Speed_Control
    Control vehicle forward speed using stern screws.
b) Fin_Steering
    Control vehicle heading using bow and stern rudders.
c) Fin_Depth_Control
    Control depth of the vehicle using bow and stern planes.
d) Waypoint_Following
    Follow three dimensional (X,Y,Z) waypoints using fins and stern screws.
e) Bottom_Following
    Control the height of the vehicle above the bottom.

These Control functions were developed with a)-c) running simultaneously, but subsumed by the guidance laws implemented in d); and, with c) subsumed by e). The control laws corresponding to these functions have been implemented based on PD, and Sliding Mode methods as explained in [6].

Control laws for these functions are readily accomplished entirely in the Execution level using digital control algorithms running at 0.1 sec. update rate. Now, however, new, more complex functions are being enabled using active control of thrusters and sonar. These include,

g) Submerge_and_Pitch_Control
    Control vehicle depth and pitch angle using vertical thrusters.
h) Heading_Control
    Control vehicle heading using lateral thrusters.
i) Longitudinal_Positional_Control
    Control longitudinal position of vehicle from a target.
j) Lateral_Positional_Control
    Control lateral position of vehicle from a target using lateral thrusters.
k) Center_Sonar(Sonar)
    Rotate sonar head 'Sonar' to ahead position.
l) Ping_Sonar(Sonar,Command)
    Ping sonar 'Sonar' using 'Command'.
m) Update_Head_Position(Sonar,Command)
    Update head position of sonar 'Sonar' based on 'Command'.
n) Read_Sonar(Sonar)
    Return sonar range from 'Sonar'.
o) Initiate_Filter_For_Sonar_Range
    For smoothed range and range rate (ST1000 sonar only).
p) Reinitialize_Filter
    Reset filter for next data gathering / control sequence.

Note: Control functions g) through j) can be implemented using step input commands for their activation or, for more precise control over transient behavior, command generators would be used which specify the desired position, rate, and acceleration of the output as a function of time.

Most of these functions need a given subset of the actuator system to be active under the operation of either an open loop command or a feedback control law. Some of the functions use orthogonal sets of actuators and are thus additive. Some use the same actuators to control different functions and thus control laws may be additive. This means, for example, that vertical thrusters may be used via control laws to control depth as well as pitch, and lateral thrusters to control heading as well as lateral position and side slip speed. In combination with propulsion motors, most functions including Submerge_and_Pitch_Control, and Longitudinal_-Position_Control, as well as Heading_Control, may now be commanded. Heading_Control and Submerge_and_

Pitch_Control and virtually any multiple combination of a) to o) above that would not cause a conflict of actuator control or sensor usage, are performed.

Activation of orthogonal behaviors are instituted using message passing that is a way of communicating between Tactical Level 'C' functions and the real time control loop of the Execution Level control. At each pass through the control loop, a read is made from the communications socket and a ladder check for particular 'case of' flags determines which set of sensors and actuators and control laws are to be activated during the computation cycle. The same technique is used to flag the activation of sonars, and filtering actions, and similarly for flags to indicate which data stream is to be written in return.

Reactive behavior in our controller can be handled inside the Execution level control loop through <u>command overrides</u> following a sensor read, as, for instance, a new obstacle detection requiring an emergency surface or obstacle avoidance (flinch) response. At a higher degree in the Tactical level, reactive error recovery can be handled by resetting key parameters associated with control performance evaluations. An example is the resetting of a control gain if a particular function cannot be stabilized. Reactive behavior is also handled at the Strategic level by transitioning to states that command an error recovery procedure such as to surface if, for example, a particular action is not observed to be taken after a pre-specified time out.

While the work of [3] has developed GAPPS rules that are more like our Strategic level rules, but, in the end would also provide mode commands to vehicle servos, our work is developed around a rule based control to sequence mission related tasks [7, 8] according to a mission plan that could (if one prefers to view it this way) represent a hierarchy of state machines with transitioning from one to another as mission phases are completed. The middle level of our tri-level architecture is then used to generate the scripts required to produce in the vehicle the requisite behavioral action. The Tactical Level functions deal with the interfacing between asynchronous control function commands and the real time computational control requirements of the 'sense - compute - send' cycle within the Execution level vehicle motion control loop.

The behaviors a) through o) are now stably implemented in the NPS PHOENIX vehicle through attention to appropriate digital control loops in the Execution level. In principle, once developed to a satisfactory point, the Execution level controller of any vehicle would not require any change as mission requirements change.

COORDINATED SUBMERGENCE / ROTATIONAL CONTROL USING COMMAND GENERATION

As part of a joint mission to evaluate control software architectures between US and France, [wang,rock,lee, oceans], it has been decided to evaluate the performance of the Nps Phoenix in a behavior that will submerge the vehicle to a specified depth at a speified rate according to a command function. A similar function will describe the required heading and heading rate so that the attainment of the new final position and heading will occur at a defined final time. The performance of this type of maneuver with land robotic is relativle easy but the performance underwater is not known until now.

In this secion we will describe the command generators used, and show the control performnac obtained with sliding mode control functions executing simulataneously.

Vehicle Model for Submergence

The vehicle dynamics in submergence using both the bow and stern vertical thrusters can be described by the following differential equation for the continuous time, continuous state evolution:

$$M\ddot{z}(t) + b\dot{z}(t)\left|\dot{z}(t)\right| = 2Z_{prop}(t) + F_B(t) + df(t) \quad (1)$$

where

$$M = m + m(\,added\,)$$

$$Z_{prop}(t) = a\,v(t)\left|v(t)\right|$$

and $m(\,added\,)$ is the vertical added mass. a is a coefficient relating the square of the thruster motor voltage, $v(t)$, to the force developed. $F_B(t)$ is the unmatched buoyancy which varies within some bound but which on any day can be either positive or negative, and is unknown. b is the coefficient of square law drag, and $df(t)$ describes an upper bound on vehicle/model parameter mismatch.

The command generator for the submerge motion is taken from

$$[z_{com}, \dot{z}_{com}, \ddot{z}_{com}] = G(z_0, z_f, a_{max}, T_0, T_f)$$

where a fith order zero jerk profile has been chosen so that the maximum acceleration and the bandwidth capacity of the vehicle is not overly exceeded and z_0, T_0 is the initial depth and starting time while z_f, T_f is the final desired depth and time at the end of the maneuver.
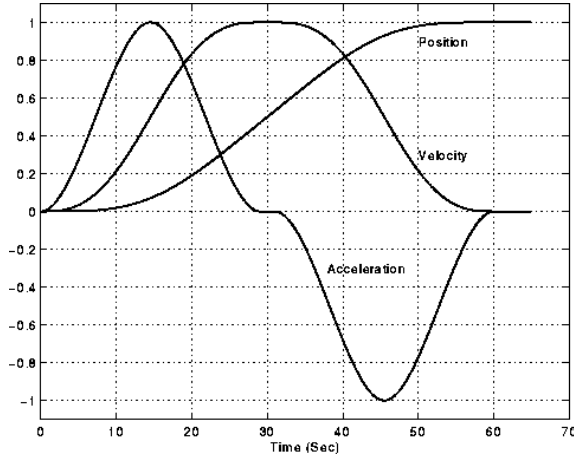

Figure 4. Normalized Command Generator Profiles

The sliding mode control law for submerging is given by

$$v(t) = \pm \left(\frac{M}{2a}(\ddot{z}_{com} + l\dot{\tilde{z}}(t) + h tanh(s(t)/f)) \right.$$
$$\left. + \frac{l}{2a}(b\dot{z}(t)|\dot{z}(t)| - F_B(t) - df(t)) \right)^{\frac{1}{2}} \quad (2)$$

where the sliding surface is

$$s(t) = \dot{\tilde{z}}(t) + l\tilde{z}(t) \quad (3)$$

and the tracking errors are defined as

$$\tilde{z}(t) = z(t) - z_{com}(t)$$
$$\dot{\tilde{z}}(t) = \dot{z}(t) - \dot{z}_{com}(t). \quad (4)$$

Using integral control the command for voltage is

$$v(t) = \pm \left(\frac{M}{2a}(\ddot{z}_{com} + l_1\dot{\tilde{z}}(t) + l_2\tilde{z}(t) \right.$$
$$+ h tanh(s(t)/f)) \quad (5)$$
$$\left. + \frac{l}{2a}(b\dot{z}(t)|\dot{z}(t)| - F_B(t) - df(t)) \right)^{\frac{1}{2}}$$

where

$$s(t) = \dot{\tilde{z}}(t) + l\tilde{z}(t) + \int \tilde{z}(t)dt. \quad (6)$$

Vehicle Model for Rotation

The vehicle dynamics for rotation about the body-fixed z-axis (yaw) using both the bow and stern lateral thrusters can be described by the following differential equation for the continuous time, continuous state evolution:

$$I_z\ddot{y}(t) + b\dot{y}(t)|\dot{y}(t)| = 2N_{prop}(t) + df(t) \quad (7)$$

where

$$I_z = I_{zz} + I_{zz}(added)$$

$$N_{prop}(t) = av(t)|v(t)|$$

and $I_{zz}(added)$ is the added inertia about the z-axis. a is a coefficient relating the square of the thruster motor voltage, $v(t)$, to the force developed. b is the coefficient of square law drag, and $df(t)$ describes an upper bound on vehicle/model parameter mismatch.

The command generator for rotation is taken from

$$[y_{com}, \dot{y}_{com}, \ddot{y}_{com}] = G(y_0, y_f, a_{max}, T_0, T_f),$$

where y_0, T_0 is the initial heading and starting time while y_f, T_f is the final desired heading and time at the end of the maneuver and is also fifth order with zero jerk.

The sliding mode control law for rotational control is given by

$$v(t) = \pm \left(\frac{I_z}{2a} (\ddot{y}_{com} + \lambda \dot{\tilde{y}}(t) + \eta \tanh(s(t)/\phi)) \right.$$

$$\left. + \frac{1}{2a} (b\dot{y}(t)|\dot{y}(t)| - F_B(t) - d_f(t)) \right)^{\frac{1}{2}} \quad (8)$$

where the sliding surface is

$$s(t) = \dot{\tilde{y}}(t) + \lambda \tilde{y}(t) \quad (9)$$

and the tracking errors are defined as

$$\tilde{y}(t) = y(t) - y_{com}(t)$$

$$(10)$$

$$\dot{\tilde{y}}(t) = \dot{y}(t) - \dot{y}_{com}(t).$$

Transition Criteria

Most control phase transitions of the Phoenix are event based, meaning that a certain set of criteria must be met in order for a transition to occur. A common example of this is when a position set point is sent to the vehicle controllers and reached. A method of determining whether the vehicle has indeed reached this point must be programmed into the control logic. Measuring the position error alone and declaring the maneuver complete when this error is small is not sufficient. This is because the vehicle could be overshooting the commanded position and simply passing through the set point. Therefore, not only must the position error be small but the rate error must also be small. This dual criteria can be expressed mathematically as a positive definite, linear combination of the position error e and the position rate error $\dot{e}$. We use,

$$s_k = w_e |e_k| + w_{\dot{e}} |\dot{e}_k| \quad (11)$$

where w_e and $w_{\dot{e}}$ are positive weights for the position and rate errors respectively. This equation allows a minimum value of s, denoted s_0, to be specified defining a threshold for the combination of errors which can be set relatively large when precision control is not required or low for extremely precise positioning. Once s drops below s_0, the maneuver is declared complete and a transition to the next control phase may occur.

When noisy sensors are used, the noise prevents s from settling enough to determine an accurate measurement for the transition, and the use of Equation

11 alone has shown to be unsatisfactory. The signal can be smoothed by filtering s through a first order digital filter of the form

$$s_{f(k+1)} = e^{-T/\tau} s_{f(k)} + (1 - e^{-T/\tau}) s_k \quad (12)$$

where s_f is the filtered form of s, τ is the time constant of the filter, and T is the sampling time. The condition for transition can be shown diagrammatically in Figure xx, which indicates that the signal for transition, s, is 1 (TRUE) for $s_f < s_{f0}$ or 0 (FALSE) for $s_f > s_{f0}$. Other dynamic error and time based signals are computed similarly.

COMMAND TRACKING PERFORMANCE

The following experiment was performed in the NPS hover tank which measures 6.0 by 6.0 meters square and 1.8 meters deep. During execution all pertinent data was collected, including depth, depth rate, heading, heading rate, thruster motor speed, etc. The experiment required the vehicle to simultaniously submege and rotate to a predetermined depth of 1 meter and a heading of 180 degrees. It was specified that the final depth and heading both be reached at 60 seconds from the beginning of the manuever. This was accomplished using command generators for both control modes with integral control for depth using an anti-reset windup saturation of 0.45 m-sec. The following tables give the values used in the vehicle control law were the vehicle mass, drag and thruster gains are from [Kevin] and the controller gains were obtained from computer simulation results.

Table 1. Paramters for Submergence Control

Parameter	Value	Unit
m	194.88	Kg
m (added)	194.88	Kg
b	1378.18	Kg/m
a	0.018	N/V^2
λ_1	0.400	rad/sec
λ_2	0.040	rad/sec^2

h	0.030	m/sec^2
f	0.061	m/sec

Table 2. Paramters for Heading Control

Parameter	Value	Unit
I_{zz}	53.60	Kg-m^2
I_{zz} (added)	53.60	Kg-m^2
b	74.86	Kg-m^2
a	0.008	N-m/V^2
l	0.200	rad/sec
h	0.200	rad/sec^2
f	0.200	rad/sec
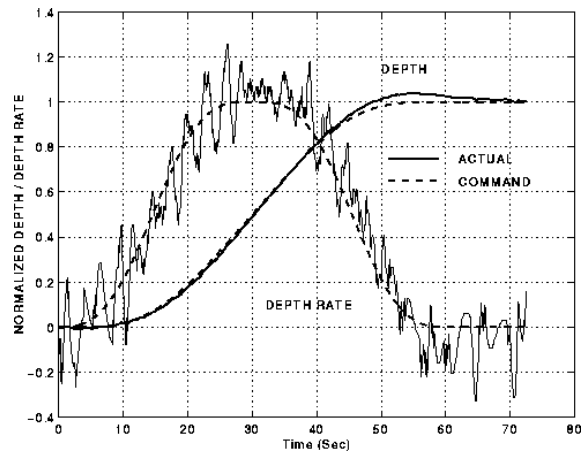
Figure 5. Normalized Depth and Depth Rate Response
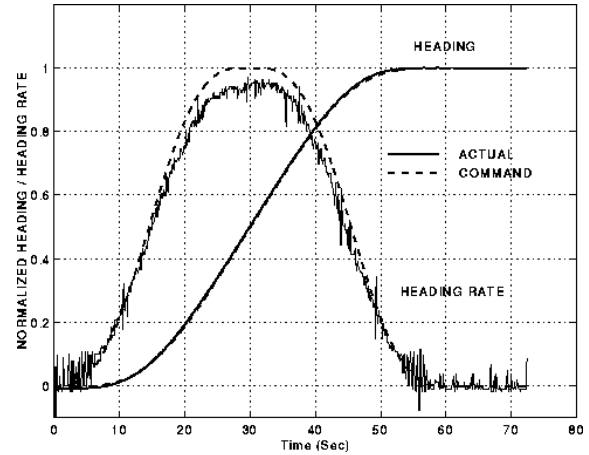
Figure 6. Normalized Heading and Heading Rate Response

Figures 5 and 6 show the normalized time responses for depth/depth rate and heading/heading rate respectively. The vehicle was trimmed to be neutrally buoyant on the surface and the depth and heading was set to zero at this point. The depth response tracks very well until the steady state region where an overshoot occurs. This is due to the vehicle becoming "heavy" at depth from hull compression, although the error is quickly corrected by the integral action of the controller. Since no disturbance was present in rotation, the heading response shows an extremely precise tracking performance with virtually no error.

The depth rate does track the command but is very noisy due to discretization noise from the A/D converter associated with the depth cell and the subsequent rate estimation from this signal. Although the signal is far from clean, the tracking performance is not adversely affected. The heading rate measured from the onboard rate gyroscope shows a definate tracking error and is due to a non-zero bias in the unit.

It can be seen from Figure 7 that the depth and heading is simultaneously controlled except for the small depth overshoot at the end of the maneuver.
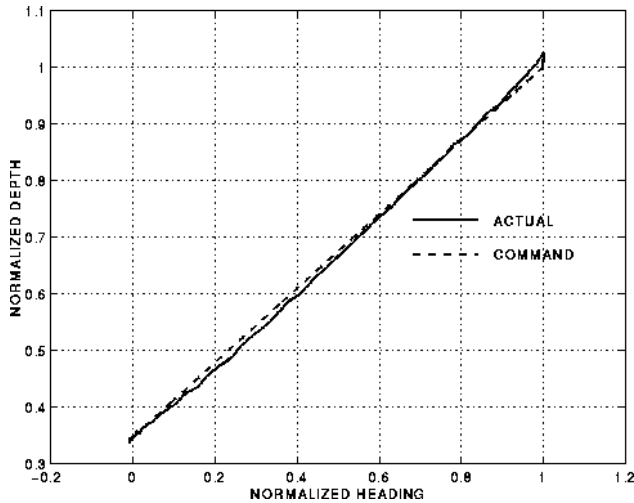
Figure 7. Normalized Depth vs. Heading Response

CONCLUSION

The conclusion of our work to date has indicated that complex behavior can be readily coordinated through Strategic level rules, that are easily modified. These act as state transitioning mechanisms and the communication through Tactical level software to the Execution level controllers is a simple but convenient way of commanding competent functions of the vehicle. The design of well behaved control laws and functions at the Execution level is essential as a primary part of the design an is effected through careful attention to the digital control loop design. Human interfacing within the controller can take place at any level.

The independent coordination of sonar for range finding on a bearing, or for imaging over a particular sector of bearings is needed to derive motion commands for the vehicle. Smooth vehicle motion can be achieved in an underwater environment free from current and wave action provided that attention is given to the processing of the sonar data, but time delays in processing sonar data is a difficult problem to handle and is still under research. We would anticipate, however, that in the future, sonar based relative navigation without the use of LBL could be possible in structured or feature rich scenes. The work is continuing.

ACKNOWLEDGMENT

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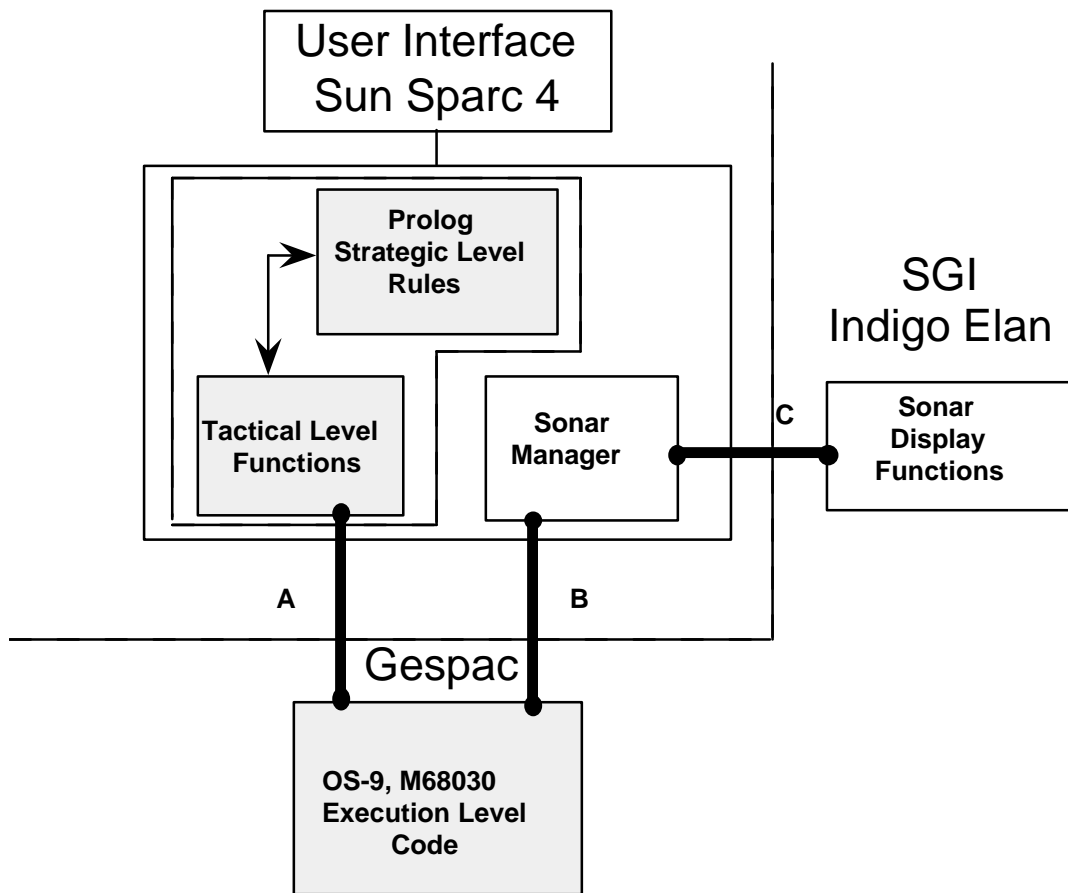Figure 1 Outline Of The NPS PHOENIX Vehicle

Figure 2 Outline Of The Phoenix Networked Controller

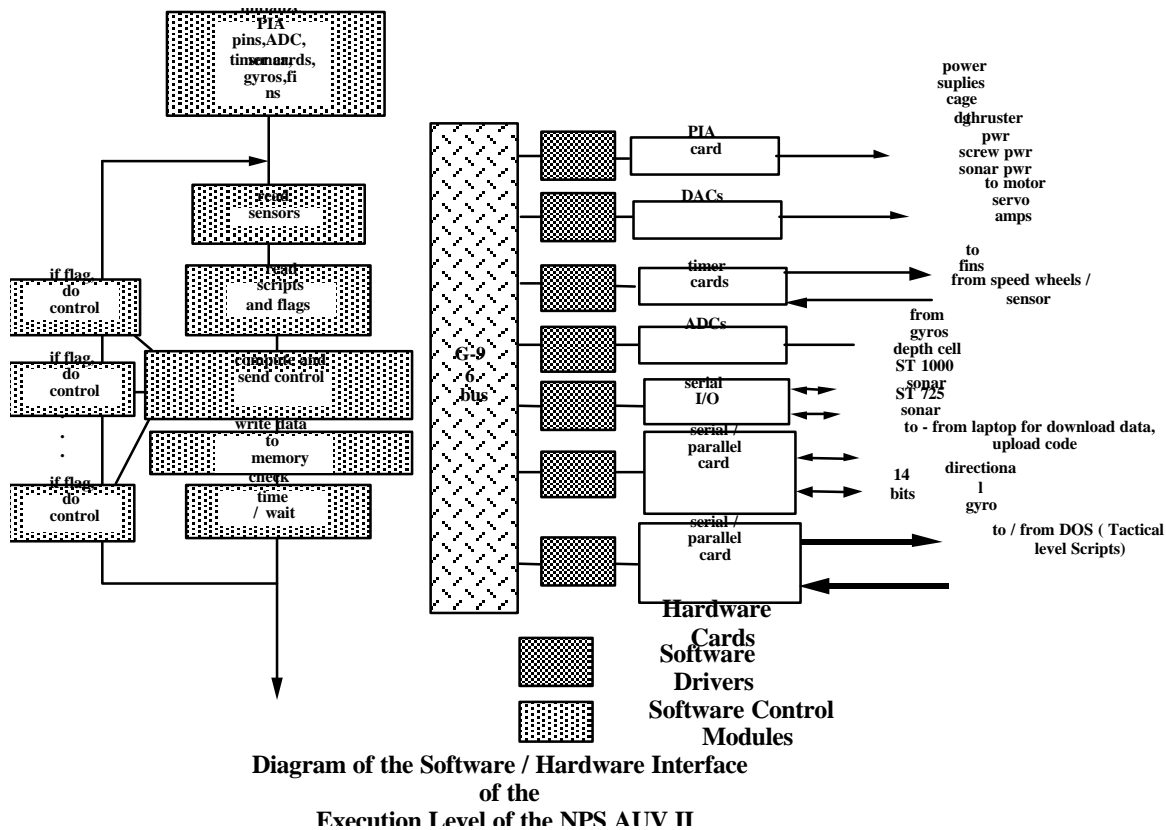Diagram of the Software / Hardware Interface
of the
Execution Level of the NPS AUV II

Figure 3 The Structure Of The Execution Level Software